



Do Response Selection Models Really Know What's Next?

Utterance Manipulation Strategies for Multi-turn Response Selection

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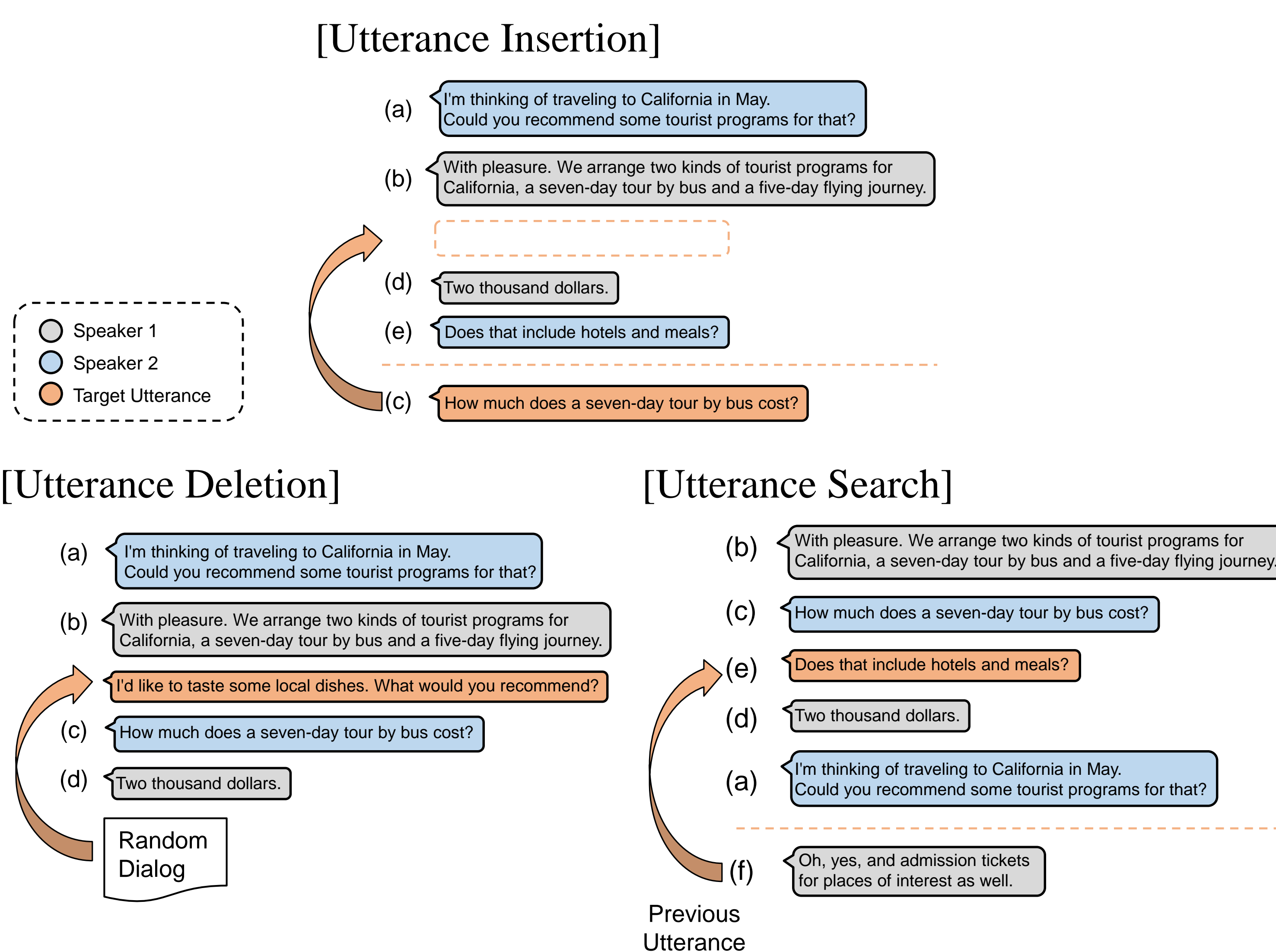
Motivation

- Domain adaptation based on an additional training on a target corpus is extremely time-consuming and computationally costly.
- Formulating response selection as a dialog-response binary classification task is insufficient to represent intra- and inter-utterance interactions as the dialog context is formed by concatenating all utterances.
- The models tend to select the optimal response depending on how semantically similar it is to a given dialog.

Contribution

- We show that existing response selection models are more likely to predict a semantically relevant response with its dialog rather than the next utterance (Adversarial Experiment).
- We propose highly effective self-supervised learning methods, **utterance manipulation strategies (UMS)**, which aid the model towards maintaining dialog coherence.
- We obtain **state-of-the-art** performance on multiple public benchmarks (i.e., Ubuntu, Douban, and E-commerce).

Proposed Method



Utterance Insertion

- Find where the selected utterance should be inserted.
- [INS] tokens are positioned before each utterance and after the last utterance.
- u_t is the target utterance and $[\text{INS}]_t$ is the target insertion token.

$$\mathbf{X}_{\text{INS}} = [[\text{CLS}] [\text{INS}]_1 u_1 [\text{INS}]_2 u_2 \dots u_{t-1} [\text{INS}]_t u_{t+1} \dots u_k [\text{INS}]_k [\text{SEP}] u_t [\text{SEP}]]$$

Utterance Deletion

- Find an unrelated utterance to the dialog.
- The unrelated utterance is sampled from the random dialog.
- [DEL] tokens are positioned before each utterance.
- u^{rand} is the utterance from the random dialog and $[\text{DEL}]_t$ is the target deletion token.

$$\mathbf{X}_{\text{DEL}} = [[\text{CLS}] [\text{DEL}]_1 u_1 [\text{DEL}]_2 u_2 \dots [\text{DEL}]_t u^{rand} [\text{DEL}]_{t+1} u_t \dots [\text{DEL}]_{k+1} u_k [\text{SEP}]]$$

Utterance Search

- Find the previous utterance of the last utterance from the jumbled utterances.
- Shuffle utterances except for the last utterance.
- [SRCH] tokens are positioned before each utterance.
- $u'_t (u_{k-1})$ is the previous utterance of the last utterance u_k and $[\text{SRCH}]_t$ is the target search token.

$$\mathbf{X}_{\text{SRCH}} = [[\text{CLS}] [\text{SRCH}]_1 u'_1 [\text{SRCH}]_2 u'_2 \dots [\text{SRCH}]_t u'_t \dots u'_{k-1} [\text{SEP}] u_k [\text{SEP}]]$$

Multi-task Learning

- The output representations of special are used to classify whether each token is in a correct position to be inserted, deleted, and searched.
- Target tokens for each task ($[\text{INS}]_t$, $[\text{DEL}]_t$, and $[\text{SRCH}]_t$) are labeled as 1, otherwise 0.
- Binary cross-entropy loss for all auxiliary tasks to optimize the model.

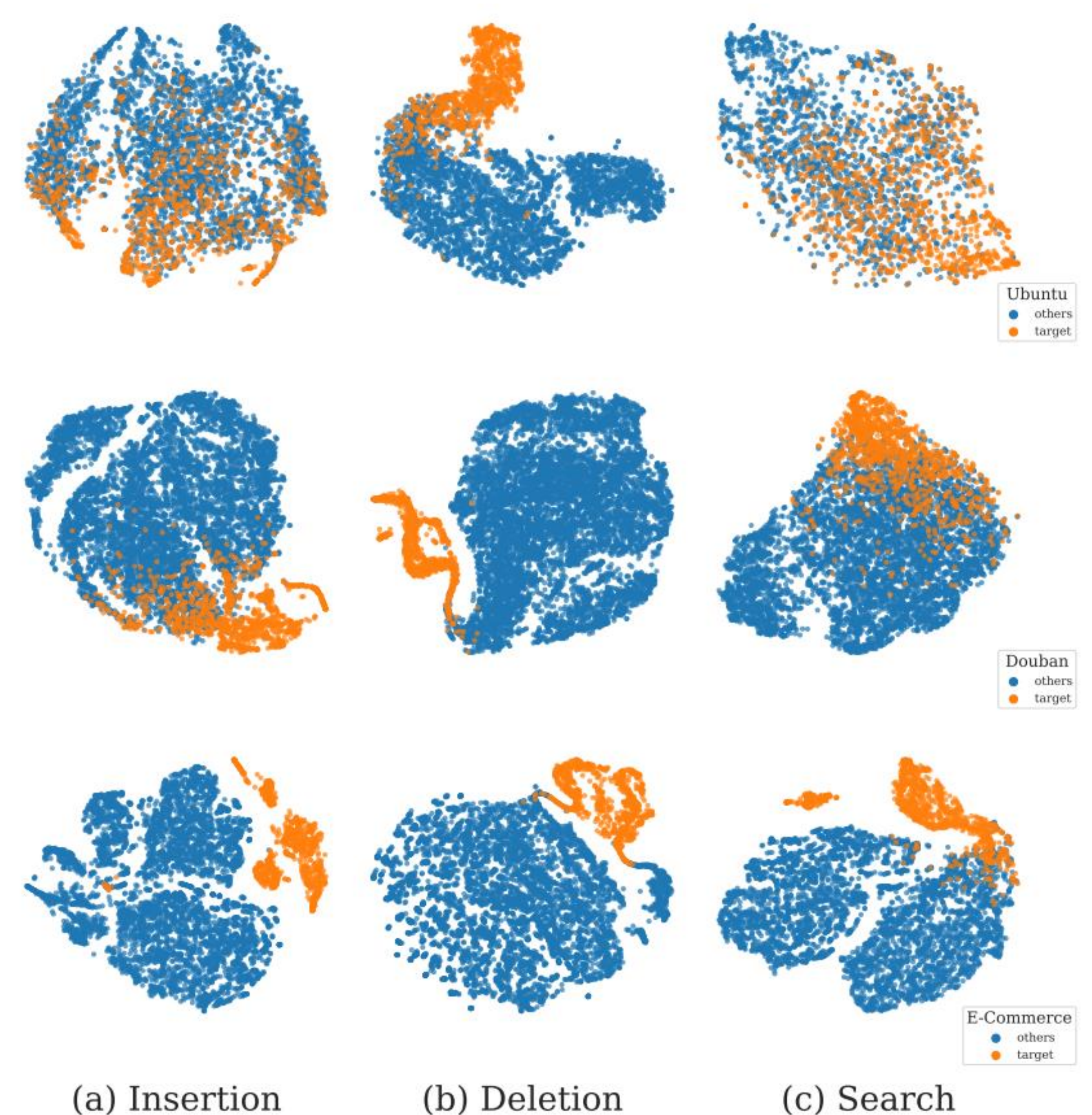
$$p(y_{\text{TASK}} = 1 | \mathbf{X}_{\text{TASK}}) = \sigma(\mathbf{w}^T \mathbf{x}_{\text{TASK}} + b)$$

Results and Discussion

Quantitative Results

Models	Ubuntu			Douban					E-commerce			
	$R_{10}@1$	$R_{10}@2$	$R_{10}@5$	MAP	MRR	P@1	$R_{10}@1$	$R_{10}@2$	$R_{10}@5$	$R_{10}@1$	$R_{10}@2$	$R_{10}@5$
BERT (ours)	0.820	0.906	0.978	0.597	0.634	0.448	0.279	0.489	0.823	0.641	0.824	0.973
ELECTRA	0.826	0.908	0.978	0.602	0.642	0.465	0.287	0.483	0.839	0.609	0.804	0.965
UMSBERT	0.843	0.920	0.982	0.597	0.639	0.466	0.285	0.471	0.829	0.674	0.861	0.980
UMSELECTRA	0.854	0.929	0.984	0.608	0.650	0.472	0.291	0.488	0.845	0.648	0.831	0.974
BERT+	0.862	0.935	0.987	0.609	0.645	0.463	0.290	0.505	0.838	0.725	0.890	0.984
ELECTRA+	0.861	0.932	0.985	0.612	0.655	0.480	0.301	0.499	0.836	0.673	0.835	0.974
UMSBERT+	0.875 [†]	0.942 [†]	0.988 [†]	0.625	0.664	0.499	0.318	0.482	0.858	0.762	0.905	0.986
UMSELECTRA+	0.875	0.941	0.988	0.623	0.663	0.492	0.307	0.501	0.851	0.707	0.853	0.974

Visualization



Adversarial Experiment

Approach	Model	Original		Adversarial	
		$R_{10}@1$	MRR	$R_{10}@1$	MRR
Baselines	BERT	0.820	0.887	0.199	0.561
	BERT+	0.862	0.915	0.203	0.573
	ELECTRA	0.826	0.890	0.304	0.614
	ELECTRA+	0.861	0.914	0.329	0.636
	Avg	0.842	0.902	0.259	0.596
UMS	BERT	0.843	0.902	0.310	0.622
	BERT+	0.875	0.923	0.363	0.656
	ELECTRA	0.854	0.910	0.397	0.668
	ELECTRA+	0.875	0.922	0.437	0.692
	Avg	0.862	0.914	0.377	0.660

Ablation Study

Auxiliary Tasks		$R_{10}@1$	$R_{10}@2$	$R_{10}@5$	MRR
1	None	0.826	0.908	0.978	0.890
2	INS	0.836	0.917	0.980	0.897
3	DEL	0.848	0.924	0.983	0.905
4	SRCH	0.834	0.915	0.981	0.896
5	INS + DEL	0.853	0.927	0.984	0.909
6	INS + SRCH	0.841	0.920	0.982	0.901
7	DEL + SRCH	0.852	0.927	0.983	0.908
8	INS + DEL + SRCH	0.854	0.929	0.984	0.910